

# QUALIFIED PLAN AUDITS...COMPETENCE BY DESIGN

At UHY LLP, qualified employee benefit plan audits are not a commodity. Unlike many of our peers, UHY LLP's audit plans stand up to regulatory scrutiny. We believe that our experienced benefit plan industry professionals, each possessing a "hands-on" service approach, provide the necessary level of insight that you and your plan's participants deserve. And that separates us from our competitors.

### INDUSTRY LEADERSHIP

UHY LLP demonstrates a commitment to leadership in the employee benefit plan industry through our professionals who are members of many employee benefit industry organizations including:

- The American Institute of Certified Public Accounts (AICPA)
- AICPA Audit Guide Revisions Committee Member
- The AICPA Employee Benefit Audit Quality Center
- Tax Exempt/Government Entity (TE/GE) Council Employee Plans Group – elected member
- Our professionals personally sit on many other employee benefit sector organizations

### INDUSTRY SOLUTIONS

Our quality is not compromised by our competitive pricing. UHY LLP's professionals are both knowledgeable, accessible and up-to-date on the rules and regulations that govern plans. We place a premium on communication with clients and efficient issue resolution. Because we believe that a benefit plan audit requires more than just numbers sense, we will help you answer the following questions:

- Is your auditor looking at your plan's operations, documents and amendments?
- Does your auditor understand the articulation between the financial statements, your plan document and your Form 5500?
- Does your auditor invest heavily in training to keep up with the latest issues surrounding your plan?
- Does your auditor utilize dedicated qualified plan auditors to perform your audit?
- Do you understand that as a plan fiduciary you are responsible for the work performed by your auditor?

Our work plans stand up to the scrutiny of the Department of Labor and our people are well versed at providing meaningful feedback to you at the conclusion of our work.

### EMPLOYEE BENEFIT PLAN INSIGHTS

At UHY LLP, we understand that one of the most important factors to consider in selecting your employee benefit plan auditor is the people with whom you will work. Your employee benefit team should be qualified and able to render professional advice and services on a timely basis and with insight. We certainly understand your team should recognize your concerns and be receptive to your needs.

### PARTNER ATTENTION

UHY LLP provides continuity of service...a rarity in the industry:

- Motivated partners & managers who are involved in employee benefits
- Dedicated employee benefit plan professionals who work in employee benefits
- Strong technical knowledge of GAAP and IRS/DOL/ERISA requirements
- Independent review and insight
- Significant understanding of how to mitigate risks and adhere to regulatory requirements
- Proven approach that results in accurate filing of Form 5500s
- Continuity from year to year to enhance efficiencies
- Proactive planning, communication, client service and issue resolution

## WHY UHY LLP FOR EMPLOYEE BENEFIT PLANS

*"A BENEFIT PLAN  
AUDIT REQUIRES  
MORE THAN JUST  
NUMBERS SENSE."*



# QUALIFIED PLAN ASSURANCE & CONSULTING SERVICES

## EMPLOYEE BENEFIT PLAN SERVICES

- Audits of defined benefit, defined contribution retirement and health & welfare employee benefit plans
- Large and small plan environments and SEC filings
- 403(b) Plan Audits and Consultation
- Assistance with IRS and DOL Examinations and Inquiries
- Identifying Failures and Correction Programs
- Plan Qualification Issues
- Government Filings – Form 5500s
- Reporting and Disclosure
- Tax Issues
- Claims and Appeals of Benefits
- Employee Benefits Advisory Services

## REPRESENTATIVE INDUSTRIES

- Construction
- Dealerships
- Education & Not-for-Profit
- Energy & Related Segments
- Financial Services
- Government Services
- Health Care
- High Tech
- Manufacturing, Retail & Distribution
- Professional Employer Organizations (PEO)
- Professional Services
- Real Estate
- Sports & Entertainment